UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
-----x
JOSE ROBLES,

08-CV-04856 (LTS) (JCF)

Plaintiff,

Electronically Filed

V.

ANSWER TO AMENDED COMPLAINT

GODDARD RIVERSIDE COMMUNITY CENTER,
INC.; STEPHAN RUSSO; STEPHEN ADLER;
RICHARD BURGHEIM; EVELYN GRANT;
STANLEY HECKMAN; KAYALYN A. MARAFIOTI;
BETSY NEWELL; LAURA PAGE; SUSAN RICHMAN;
ERIC ROSENFELD; DANIEL SIFF; HOWARD
STEIN; and PETER WORKMAN,

												D	е	f	е	n	d	a	n	t	S								
 _	_	_	_	_	 _	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_	 	 _	_	_	_	_	 	·X

Defendant, Stephen Adler by their attorneys, HOEY, KING, TOKER & EPSTEIN, answer the Amended Complaint of the Plaintiffs by stating as follows:

- 1. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 1 and respectfully refers all questions of law to this Honorable Court.
  - 2. Defendant admits the allegations of paragraph 2.
  - 3. Defendant admits the allegations of paragraph 3.
- 4. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 4.

- 5. Defendant denies the allegations of paragraph 5, except to admit that on information and belief, defendant GOODARD-RIVERSIDE COMMUNITY CENTER, INC. (hereinafter "GRCC") is a 501 (c)(3) corporation formed and existing under the New York State Not-For-Profit 501(c)(3) laws conducting business in the City, County and State of New York with its principal office and place of business at 593 Columbus Avenue, New York, New York.
  - 6. Defendants admit the allegations of paragraph 6.
- 7. Defendant denies the allegations of paragraph 7 and respectfully refer all questions of law to this Honorable Court.
  - 8. Defendant admits the allegations of paragraph 8.
  - 7.  $(2^{nd})$  Defendant admits the allegations of paragraph 7. [sic]
- 9. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 9.
- 10. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 10.
- 11. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 11.
- 12. Defendant denies the allegations of paragraph 12, except to admit that Phelps House is located at 593 Columbus

Avenue in New York County and was developed pursuant to \$202 of the National Housing Act of 1959 as amended 1974.

- 13. Defendant denies the allegations of paragraph 13, except to admit that that as of December 31, 2004 Phelps House Assoc., LP an entity related to GRCC. owned and operated Phelps House with TUC as it managing agent.
- 14. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 14
- 15. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 15.
- 16. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 16.
- 17. Defendant denies the allegations of paragraph 17, except to admit that plaintiff accepted that position of Superintendent of 593-595 Columbus Avenue.
  - 18. Defendant denies the allegations of paragraph 18.
- 19. Defendant lacks having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 19.
- 20. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations

of paragraph 20, except to admit that for the time of his employment at Phelps House prior to January 1, 1990, plaintiff's paychecks were issued by TUC but admit that commencing January 1, 1990 he was paid directly by GRCC.

- 21. Defendant admits the allegations of paragraph 21.
- 22. Defendant lacks having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 22.
- 23. Defendant denies the allegations of paragraph 23, except to admit that among the terms of his employment were grievance procedures which were made available to employees not covered by a collective bargaining agreement.
- 24. Defendant admits but refer to Exhibit "A" pages 6 & 7 of the amended complaint.
- 25. Defendant denies the allegations of paragraph 25, except to admit that Plaintiff was entitled to a pension contribution from GRCC equal to 12% of his pay, and he received the same after the first year of his employment with GRCC.
  - 26. Defendant admits the allegations of paragraph 26,
- 27. Defendant denies the allegations of paragraph 27 except admit that at some time subsequent to his retention as superintendent of Phelps Hose, the pension rights of new employees were changed.

- 28. Defendant denies the allegations of paragraph 28, except to admit that in addition to his pension benefit, since 2002 all employees covered by GRCC's Aetna Health Insurance Plan made employee contributions for their medical insurance.
- 29. Defendant denies the allegations of paragraph 29 and refer to the document entitled Personnel practices.
- 30. Defendant denies the allegations of paragraph 30, except to admit that as an incident to his employment, plaintiff was entitled to occupancy of a two bedroom apartment at Phelps House which is presently rentable under HUD guidelines for \$1,548 per month.
- 31. Defendant denies the allegations of paragraph 31, except to admit that throughout his entire tenure at GRCC, plaintiff had never been at any time subject to disciplinary action.
  - 32. Defendant denies the allegations of paragraph 32.
  - 33. Defendant admits the allegations of paragraph 33.
  - 34. Defendant admits the allegations of paragraph 34.
  - 35. Defendant denies the allegations of paragraph 35.
  - 36. Defendant denies the allegations of paragraph 36.
- 37. Defendant denies the allegations of paragraph 37, except to admit that in early October, plaintiff had a discussion with Mr. Russo and others about missing alcohol from a "book storage room" in the cellar of 593-595 Columbus Avenue

to which plaintiff had "24-7" access and maintenance and security responsibility.

- 38. Defendant lacks having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 38.
- 39. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 39, except to admit that Plaintiff suggested because the amount alcohol missing was significant, and Mr. Russo was concerned that he should call the police.
- 40. Defendant denies the allegations of paragraph 40, except to admit that on October 11, 2007, Russo requested plaintiff's resignation. He delivered to plaintiff a proposed letter of resignation. A copy of that letter is annexed to the amended complaint as Exhibit "B".
  - 41. Defendant denies the allegations of paragraph 41.
  - 42. Defendant admits the allegations of paragraph 42.
- 43. Defendant denies the allegations of paragraph 43, except to admit that any successor would be entitled to no contribution his first year and 6% in each year thereafter.
- 44. Defendant denies the allegations of paragraph 44, except to admit that at the time he was discharged, Plaintiff was 62 years of age.

- 45. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 45, except to admit that Plaintiff has also received a "Cobra" notice concerning cancellation plaintiff's health insurance.
  - 46. Defendant denies the allegations of paragraph 46.
  - 47. Defendant denies the allegations of paragraph 47.
- 48. Defendant denies the allegations of paragraph 48, except to admit that Mr. Russo, Executive Director of GRCC in capacity as Executive Director made the decision to discharge plaintiff.
  - 4 . Defendant denies the allegations of paragraph 49.
  - 50. Defendant denies the allegations of paragraph 50.
  - 51. Defendant denies the allegations of paragraph 51.
  - 52. Defendant denies the allegations of paragraph 52.
- 53. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 53.
- 54. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 54.
- 55. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 55.

- 56. Defendant denies having knowledge or information sufficient to form a belief as to the truth of the allegations of paragraph 56.
  - 57. Defendant denies the allegations of paragraph 57.
  - 58. Defendant denies the allegations of paragraph 58.
  - 59. Defendant denies the allegations of paragraph 59.
  - 60. Defendant admits the allegations of paragraph 60.
- 61. Defendant denies the allegations of paragraphs 61 and refer to the defendant's Personnel Policy.
- 62. Defendant denies the allegations of paragraphs 62 except admit that the defendant sought and obtained plaintiff's eviction from Phelps House and refer to Exhibit "F" of the Amended Complaint.
  - 63. Defendant denies the allegations of paragraph 63.
- except admits that the following named individual defendants are members of the Board of Directors of GRCC and of the Board's Executive Committee: STEPHEN ADLER; RICHARD BURGHEIM; EVELYN GRANT; STANLEY HECKMAN; BETSY NEWEL; LAURA PAGE; SUSAN RICHMAN; ERIC ROSENFELD; HOWARD STEIN and PETER WORKMAN and admit that the following are members of the Board of Directors of GRCC and of the Board's Personnel Committee: KAYALYN MARAFIOTI, LAURA PAGE; ERIC ROSENFELD AND DANIEL SIFF.
  - 65. Defendant denies the allegations of paragraph 65.

- 66. Defendant denies the allegations of paragraph 66.
- 67. Defendant denies the allegations of paragraph 67.
- 68. Defendant Responds to paragraph 68 of the Amended Complaint by repeating, reiterating, and realleging all responses given to the paragraphs referred to therein with the same force and effect as if herein set forth at length.
  - 69. Defendant denies the allegations of paragraph 69.
  - 70. Defendant denies the allegations of paragraph 70.
  - 71. Defendant denies the allegations of paragraph 71.
  - 72. Defendant denies the allegations of paragraph 72.
- 73. Defendant Responds to paragraph 73 of the Amended Complaint by repeating, reiterating, and realleging all responses given to the paragraphs referred to therein with the same force and effect as if herein set forth at length.
  - 74. Defendant denies the allegations of paragraph 74.
  - 75. Defendant denies the allegations of paragraph 75.
  - 76. Defendant denies the allegations of paragraph 76.
  - 77. Defendant denies the allegations of paragraph 77.
  - 78. Defendant denies the allegations of paragraph 78.
- 79. Defendant Responds to paragraph 79 of the Amended Complaint by repeating, reiterating, and realleging all responses given to the paragraphs referred to therein with the same force and effect as if herein set forth at length.
  - 80. Defendant denies the allegations of paragraph 80.

- 81. Defendant denies the allegations of paragraph 81.
- 82. Defendant denies the allegations of paragraph 82.
- 83. Defendant denies the allegations of paragraph 83.
- 84. Defendant denies the allegations of paragraphs 84 and respectfully refers all questions of law to this Honorable Court.
  - 85. Defendant denies the allegations of paragraph 85.
  - 86. Defendant denies the allegations of paragraph 86.
  - 87. Defendant denies the allegations of paragraph 87.
  - 88. Defendant denies the allegations of paragraph 88.
  - 89. Defendant denies the allegations of paragraph 89.
  - 90. Defendant denies the allegations of paragraph 90.
- 91. Defendant Responds to paragraph 91 of the Amended Complaint by repeating, reiterating, and realleging all responses given to the paragraphs referred to therein with the same force and effect as if herein set forth at length.
  - 92. Defendant admits the allegations of paragraph 92.
  - 93. Defendant denies the allegations of paragraph 93.
  - 94. Defendant denies the allegations of paragraph 94.
  - 95. Defendant denies the allegations of paragraph 95.
- 96. Defendant Responds to paragraph 96 of the Amended Complaint by repeating, reiterating, and realleging all responses given to the paragraphs referred to therein with the same force and effect as if herein set forth at length.

- 97. Defendant admits the allegations of paragraph 97.
- 98. Defendant denies the allegations of paragraph 98.
- 99. Defendant denies the allegations of paragraph 99.
- 100. Defendant denies the allegations of paragraph 100.
- 101. Defendant Responds to paragraph 101 of the Amended Complaint by repeating, reiterating, and realleging all responses given to the paragraphs referred to therein with the same force and effect as if herein set forth at length.
  - 102. Defendant admits the allegations of paragraph 102.
  - 103. Defendant denies the allegations of paragraph 103.
  - 104. Defendant denies the allegations of paragraph 104.
  - 105. Defendant denies the allegations of paragraph 105.
  - 106. Defendant denies the allegations of paragraph 106.

#### AS AND FOR A FIRST AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

107. Upon information and belief the causes of action alleged in the Amended Complaint of the Plaintiff fail to properly state, specify or allege a cause of action on which relief can be granted as a matter of law.

#### AS AND FOR A SECOND AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

108. That if it is determined that this answering

Defendant is e responsible for the acts alleged in the Amended

Complaint then Plaintiff failed to take appropriate action to

mitigate any damages.

#### AS AND FOR A THIRD AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

109. The Business Judgment Rule precludes the Judicial review of the action and precludes the granting of any relief sought in the Amended Complaint.

### AS AND FOR A FOURTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

110. The Plaintiff was an employee-at-will and any actions taken by Defendants were proper.

### AS AND FOR A FIFTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

111. Plaintiff's claims for emotional distress and mental anguish damages, and any other claims for noneconomic loss are not recoverable under the Age Discrimination in Employment Act.

### AS AND FOR A SIXTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

112. Plaintiff is not entitled to punitive damages and attorneys' fees and costs under the New York State Executive Law.

# AS AND FOR A SEVENTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

113. The Amended Complaint is barred, in whole or in part, by the doctrines of equitable estoppel, laches, waiver and/or unclean hands.

#### AS AND FOR AN EIGHTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

114. Defendant's actions and decisions with respect to plaintiff were taken for legitimate non-discriminatory reasons.

#### AS AND FOR A NINTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

115. Assuming, <u>arguendo</u> that any impermissible consideration may have affected any decision regarding plaintiff (which Defendant denies), the employment decisions of which plaintiff complains would have been taken in any event for legitimate non-discriminatory reasons.

#### AS AND FOR A TENTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

116. Defendants are not liable to plaintiff for the acts or omissions of any supervisory or managerial employee that were beyond the scope of employment.

### AS AND FOR A ELEVENTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

117. Because no discovery has been taken at this stage of the case, defendants reserve the right to file and serve additional defenses.

## AS AND FOR A TWELFTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

118. Plaintiff's claims for damages based upon emotional, mental or physical injuries, including his claim of infliction of emotional distress, are barred by the exclusivity provisions of the applicable worker's compensation laws.

# AS AND FOR A THIRTEENTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

119. Plaintiff is not entitled to the relief sought in the Amended Complaint to the extent said relief is not available under Title VII and/or the New York State Human Rights Law and/or 42 U.S.C. §1983.

## AS AND FOR A FOURTEENTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

120. Plaintiff's contract claims are barred, in whole or in part, by the statute of frauds and New York General Obligations Law.

#### AS AND FOR A FIFTEENTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

under Title VII of the Civil Rights Act of 1964, the action cannot be maintained to the extent that it relies on alleged claims of discrimination which were not made the subject of a timely charge of discrimination filed with the Equal Employment Opportunity Commission, or to the extent

that plaintiff has otherwise failed to fulfill the statutory prerequisites to suit set forth in 42 U.S.C. § 2002e-2, et seq.

### AS AND FOR A SIXTEENTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

122. Plaintiff's claims against the individual defendants are barred, in whole or in part, because neither individual defendant is an "employer" within the meaning of the applicable statutes.

### AS AND FOR A SEVENTEENTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

defendants are barred, in whole or in part, because the Court lacks jurisdiction over the individual defendants

# AS AND FOR AN EIGHTHEENTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

- 124. The complaint fails to state a claim upon which relief can be granted against any of the individual defendants, individuals cannot be sued and held liable:
  - (a) under the VII or the ADEA;
- (b) under 42 USC \$1983 unless they are State officials or "actors", which the individual defendants are not;

(c) under the New York State Human Rights Law unless they are "aiders or abettors" which the individual defendants are not because all of them except Stephen Russo are members of the Board of Directors of a New York Not-For-Profit corporation who served and acted, at the request of plaintiff after he was terminated October 11, 2007 as another "Step" of an internal post-termination grievance procedure invoked by plaintiff pursuant to GRCC's Personnel Practices page 6-7. (Exhibit "A" Amended Complaint).

#### AS AND FOR A NINETEENTH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

125. Plaintiff is not entitled to recover damages on his claims of discrimination because, at all relevant times, Defendants have engaged in good faith efforts to comply with all laws prohibiting discrimination in employment.

# AS AND FOR A TWENTIETH AFFIRMATIVE DEFENSE THIS ANSWERING DEFENDANT ALLEGES AS FOLLOWS:

126. All or part of plaintiff's claims for damages are barred by the doctrine of after-acquired evidence.

WHEREFORE, the Defendants demand judgment dismissing the Amended Complaint with prejudice, together with its attorneys' fees and disbursements in this action.

Defendants hereby object to a trial by jury of all issues and matters including, but not limited to, those issues and

matters on lost wages, back pay, front pay and other equitable relief.

DATED: August 19, 2008 New York, New York

Yours, etc.,

HOEY, KING, TOKER & EPSTEIN
Attorneys for Defendants
Stephen Adler
Office and Post Office
Address
55 Water Street, 29th Floor
New York, New York 10041-2899
(212) 612-4200

Rhonda L. Epstein

By:

To:

John C. Klotz, Esq. Attorneys for Plaintiff, Jose Robles 350 Fifth Avenue, Suite 4810 New York, New York 10118 08-CV-04856 (LTS) (JCF)

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

JOSE ROBLES,

Plaintiff,

against

GODDARD RIVERSIDE COMMUNITY CENTER, INC.; STEPHAN RUSSO; STEPHEN ADLER; RICHARD BURGHEIM; EVELYN GRANT; STANLEY HECKMAN; KAYALYN A. MARAFIOTI; BETSY NEWELL; LAURA PAGE; SUSAN RICHMAN; ERIC ROSENFELD; DANIEL SIFF; HOWARD STEIN; and PETER WORKMAN,

Defendants.

#### ANSWER TO AMENDED COMPLAINT

HOEY, KING, TOKER & EPSTEIN
Attorneys for Defendant
Stephen Adler
Office and Post Office Address
55 Water Street, 29th Floor
New York, New York 10041-2899
(212) 612-4200